

AMERICANS WITH DISABILITIES ACT ANNUAL REPORT FISCAL YEAR 2013/14



AMERICANS WITH DISABILITIES ACT ANNUAL REPORT FISCAL YEAR 2013/14

Prepared in Compliance with the June 2010 Final Order Concerning
Californians for Disability Rights, Inc., et al. v.
California Department of Transportation Case No.: C 06 5125
Settlement Agreement re Class Action Settlement December 2014



TABLE OF CONTENTS

EXECUTIVE SUMMARY	4
BACKGROUND	6
ACCESS CONSULTANT	8
PERFORMANCE REPORT	9
1. Program Access Improvements Funded by the Annual Commitment.....	10
(A) Program Access Improvements.....	10
(1) Access Improvement Projects	
Developed to Remove Access Barriers	11
(2) Projects Derived from Access Requests and Grievances	
Received From the Accessibility Grievance Procedure	12
(3) ADA Program Access Improvements	
Constructed as Part of CAPM Projects,	
up to 25 Percent of the Total Annual Commitment	12
(B) Costs Related to Managing Caltrans' ADA Infrastructure Program	
and Implementing ADA Program Access Improvements	13
(C) Costs Associated with Establishing and Managing the	
Accessibility Grievance Procedure and Access Request Process.....	13
(D) Completion of Caltrans' Infrastructure Assessment	
of Accessibility Barriers	13
(E) Retention of an Access Consultant.....	13
(F) Annual Commitment Adjustments from Prior Fiscal Years.....	14
2. Allocation of Annual Commitment of Funds	
for ADA Program Access Improvements.....	15
3. ADA Program Access Improvements Relating to Pedestrian Facilities	
and Park and Ride Facilities not Funded by the Annual Commitment	
Completed as Part of New Construction, Alteration, or CAPM Projects.....	15

- 4. Other Pedestrian Facilities and Park and Ride Facilities
 - Newly Constructed or Altered in FY2013/14..... 16
- 5. Training and Monitoring Efforts Undertaken in FY2013/14
 - to Ensure that Temporary Routes, when Provided Through and
Around Work Zones, are Accessible to Pedestrians With Disabilities 16
- 6. Revisions to “Pedestrian Accessibility Guidelines
for Highway Projects” (Caltrans Design Information Bulletin 82)..... 16
- 7. ADA Program Access Improvements Planned for FY2014/15..... 17
- 8. Estimated Dollar Amount of Funding to be Allocated
to Program Access Improvements Planned for Future Fiscal Years..... 18
- 9. Grievances Received from the Accessibility Grievance Procedure..... 18
- 10. Status of the Resolution of Accessibility Grievances 19

OTHER ADA RELATED ACTIVITIES 20

- ADA Infrastructure Database..... 20
- Caltrans Disability Advisory Committee..... 21
- Local Agency and Federal Highway Administration ADA Compliance Reviews 22

LOOKING AHEAD 23

APPENDICES

- Appendix A. 25
 - Access Improvements Relating to Pedestrian Facilities
and Park and Ride Facilities Not Funded by the Annual Commitment
Completed as Part of New Construction, Alteration,
or Capital Preventive Maintenance (CAPM) Projects
- Appendix B. 29
 - Status of the Resolution of Accessibility Grievances, FY2013/14
- Appendix C. 39
 - Annual Commitment Adjustments for Prior Fiscal Years

EXECUTIVE SUMMARY

In August 2006, Californians for Disability Rights, Inc., the California Council of the Blind, Mr. Ben Rockwell, and Mr. Dmitri Belser, on behalf of themselves and on behalf of all others similarly situated, filed in the United States District Court for the Northern District of California a class action lawsuit against the California Department of Transportation (Caltrans) and the Caltrans director, in his official capacity, claiming violations of both federal and State laws, principally concerning statutory provisions contained in the Americans With Disabilities Act (ADA). The plaintiffs claimed the violations were caused by Caltrans' alleged failure to install and/or maintain curb ramps and sidewalks that allow reasonable access for mobility and visually impaired persons. In June 2010, a lawsuit settlement agreement was ordered by the court and entered into by and among all parties.

One of the requirements of the settlement agreement requires that for thirty years Caltrans complete an annual report providing sufficient information to allow the plaintiffs' attorneys to evaluate whether Caltrans is complying with the terms of the settlement agreement. The annual report is due within 180 days after the close of each federal fiscal year (FY). By mutual agreement between the parties, the reporting period was changed from the federal fiscal year to the State fiscal year, July 1 through June 30.

Caltrans' "Americans With Disabilities Act Annual Report, Fiscal Year 2013/14" is the fourth annual report and includes information on Caltrans' compliance with the settlement agreement during the period from July 1, 2013, through June 30, 2014. Caltrans' accomplishments for each of the ten reporting requirements of the settlement agreement for FY2013/14 are as follows:

The Americans With Disabilities Act of 1990 (42 U.S.C. § 12101 et seq. and 47 U.S.C. § 225, Pub. L. 101-336, July 26, 1990, 104 Stat. 327, as amended by the ADA Amendments Act of 2008,

Pub. L. 110-325, September 25, 2008).

January 2010 Californians for Disability Rights, Inc. v. California Department of Transportation, Case No.: C 06 5125, Settlement Agreement Re Class Action Settlement, <http://www.dot.ca.gov/hq/bep/documents/Settlement_Agreement.pdf>, accessed November 3, 2014.

1. Program Access Improvements Funded by the Annual Commitment.

Caltrans completed construction on six ADA access improvement projects totaling \$5,971,070 (see table 1) and \$122,224 (See table 2) of access improvements constructed as part of two Capital Preventative Maintenance (CAPM) projects in FY2013/14. Construction included the completion of 126 curb ramps and 972 linear feet (0.18 miles) of sidewalk. Program access improvements totaled \$6,093,294

2. Allocation of Annual Commitment of Funds for Program Access Improvements.

The annual commitment goal for FY2013/14 was \$25 million plus a \$29.2 million carry-over from previous fiscal years. In FY2013/14 a total of \$6,093,294 million was expended for access improvement projects and an additional \$4.8 million in annual commitment-eligible ADA program related costs for a total of \$10.9 million. The resulting carry over from FY2013/14 will be \$43 million.

3. Access Improvements Relating to Pedestrian Facilities and Park and Ride Facilities Not Funded by the Annual Commitment Completed as Part of New Construction, or Alteration Projects.

Caltrans constructed 431 curb ramps, 63,064 linear feet (11.9 miles) of sidewalk, and added or modified 287 accessible pedestrian push buttons in FY2013/14 as part of highway improvement projects. (See appendix A)

4. Other Pedestrian Facilities and Park and Ride Facilities Newly Constructed or Altered During the Reporting Fiscal Year.

Caltrans constructed 8 accessible pedestrian push buttons within barrier removal projects as part of ADA access improvement projects and not attributable to the annual commitment in FY2013/14.

5. Training and Monitoring Efforts Undertaken During the Reporting Fiscal Year to Ensure That Temporary Routes, When Provided Through and Around Work Zones, Are Accessible to Pedestrians With Disabilities.

Caltrans continues to provide on-line temporary pedestrian routes through work zones training for Caltrans personnel and others. To date, 1,618 Caltrans construction personnel have completed the train-

ing. In June 2013, the ADA Infrastructure Program executed a new construction training contract that includes the development of an updated temporary routes through work zones handbook and training course to ensure that contractors install and maintain accessible routes when a pedestrian facility is affected as part of a construction project. The anticipated date of delivery for the completion of the updated handbook is June 1, 2015.

6. Revisions to "Pedestrian Accessibility Guidelines for Highway Projects" (Caltrans Design Information Bulletin 82).

Changes were made to Design Information Bulletin (DIB) 82 in FY2013/14 to reflect new federal guidance. DIB 82-05 was approved by FHWA and issued to Caltrans staff on October 1, 2013

7. Program Access Improvements Planned for the Next Fiscal Year.

Seven program access improvement projects, totaling approximately \$18.4 million, are anticipated to complete construction in FY2014/15. (See table 3.)

8. Estimated Dollar Amount of Funding to Be Allocated to Program Access Improvements Planned for Future Fiscal Years.

Caltrans is expecting to complete, eleven projects in FY2015/16 with an estimated cost of \$46.3 million, twelve projects for FY2016/17 with an estimated cost of \$46.5 million, eight projects for FY2017/18 with an estimated cost of \$28.6 million and seventeen projects for FY2018/19 with an estimated cost of \$77.1 million. FY 2019/20 and FY2020/21 are in the planning stage.

9. Grievances Received From the Accessibility Grievance Procedure.

Caltrans received 168 accessibility grievances and access requests in FY2013/14.

10. Status of the Resolution of Accessibility Grievances.

The centralized grievance intake and investigation process continues to be operated through a consultant contract. All accessibility grievances received in FY2013/14 were investigated by the consultant and Caltrans and are in various stages of resolution. (See appendix B)

BACKGROUND

Caltrans owns and maintains an estimated 4,000 miles of sidewalks along 15,000 miles of roadways in addition to pedestrian facilities that are part of bridge structures, park and ride facilities, vista points, safety roadside rest areas, and pedestrian undercrossings and overcrossings as part of the State Highway System. The majority of Caltrans' pedestrian infrastructure are located on routes that pass through urban centers. Regular maintenance of and upgrades to this extensive pedestrian infrastructure are required to keep the system functioning and compliant with federal and State laws.

According to the terms of the 2010 lawsuit settlement agreement, Caltrans shall:

1. Allocate \$1.1 billion from the State Highway Operation and Protection Program (SHOPP) in annual commitments over a compliance period of thirty years to improve access on sidewalks and other pedestrian facilities (program access improvement projects). Annual commitment levels are:
 - \$25 million a year for the first five fiscal years (FY2010/11 through FY2014/15).
 - \$35 million a year for the next ten fiscal years (FY2015/16 through FY2024/25).
 - \$40 million a year for the next ten fiscal years (FY2025/26 through FY2034/35).
 - \$45 million a year for the last five fiscal years (FY2035/36 through FY2039/40).
2. Ensure that each new construction or rehabilitation project improves pedestrian facilities as part of that project. ADA required work accomplished as part of these projects is Caltrans' responsibility under federal and State ADA laws and regulations and does not count toward fulfillment of the annual commitment.
3. Revise ADA design guidance, contained in DIB 82, to ensure that Capital Preventative Maintenance (CAPM) projects adjacent to pedestrian facilities must include installation or upgrade of curb ramps at the time the CAPM work is performed, and where vehicular lanes and shoulders are intended by Caltrans for pedestrian use, those lanes and shoulders must comply with accessibility requirements. Costs for curb ramp improvements are included up to 25 percent of the annual commitment.
4. Develop and implement temporary routes through work zones guidance, ensuring that construction activities requiring temporary pedestrian routes are accessible by persons with disabilities, including training of Caltrans personnel involved in designing and inspecting such work.
5. Accept accessibility grievances and access requests from persons with disabilities and others, and respond within specific time frames in accordance with U.S. Department of Justice regulations and the terms of the settlement agreement.
6. Complete an annual report, at the end of the first full fiscal year (FY2010/11) and every year after during the compliance period, with sufficient detail to allow the plaintiffs' attorneys to evaluate whether Caltrans is complying with the terms of the settlement agreement.
7. Retain for the first seven years of the compliance period an outside access consultant with substantial experience in evaluating and/or assisting public entities in evaluating the accessibility of programs, services, activities, and facilities.

Caltrans created the ADA Infrastructure Program in July 2010 as a single statewide focal point for infrastructure related ADA compliance issues. The program's primary functions are to develop and coordinate guidelines and policy improvements and to support the initiation of access improvement projects that fulfill the requirements of the settlement agreement. The program also provides coordination for accessibility related issues, monitors and updates the ADA transition plan, manages the two ADA programs in the SHOPP and provides leadership and guidance on the resolution of ADA related complaints.

In June 2014, Caltrans executed Phase IV of the statewide pedestrian system assessments to identify access barriers on state highway structures (bridges, highway overpasses and underpasses). Phase III assessment work is also on-going to collect access barriers on pedestrian undercrossings and over-crossings, highway on-ramps and off-ramps, safety road side rest areas and park-and-ride lots. All field assessment data is used to populate the Caltrans ADA database system, which is the basis for the Caltrans ADA transition plan.

A statewide centralized grievance and access request intake and investigation process was initiated in 2011 to handle requests from persons with disabilities and the general public for the removal of access barriers within Caltrans pedestrian infrastructure. The intake, investigation process is currently a joint effort between Caltrans ADA infrastructure staff, district personnel and the consulting firm, ADAAC. The ADA intake process is accessible in several formats including an online intake form, available at <http://www.dot.ca.gov> via the ADA Access Request button and at <http://www.dot.ca.gov/contactus.htm>.

ADA Infrastructure Program



ACCESS CONSULTANT

For the first seven years of the thirty year compliance period, Caltrans is required to retain an outside access consultant with substantial experience evaluating and assisting public entities in evaluating the accessibility of programs, services, activities, and facilities. The consultant's duties include reviewing Caltrans' access improvement projects, the annual report, and providing an evaluation to the plaintiffs and members of the settlement class concerning Caltrans' compliance with the settlement agreement. An evaluation report by the consultant, Sally Swanson Architects, Inc., for FY2012/13 was completed and provided to the plaintiffs in March 2014.

The parties involved in the settlement agreement have agreed that an access consultant will be retained by Caltrans an additional three years through the end of FY2020/21.



PERFORMANCE REPORT

Within 180 days after the end of every fiscal year, Caltrans is required to prepare an annual report to demonstrate Caltrans' compliance with the terms of the settlement agreement. The annual report shall provide information about the following ten items listed, in order to allow the plaintiff's attorneys to evaluate Caltrans' performance.

In order to simplify the tracking of costs and provide complete barrier removal information within projects, program access improvement, new construction, and alteration projects will be reported once the construction is complete.



1. Program Access Improvements Funded by the Annual Commitment

A major component of the settlement agreement and the annual report is the annual commitment. The annual commitment is an annual level of funding allocated by Caltrans primarily for program access improvement projects that remove accessibility barriers within its pedestrian infrastructure and other program related costs.

For the first five years of the compliance period, starting with FY2010/11, the targeted annual commitment funding level is \$25 million. If the total annual commitment is not met each year, the uncommitted portion of that year's target will be used in subsequent years as soon as practical. Excess commitments in any given fiscal year will be credited toward the target commitment in future years.

Eligible expenditures in the annual commitment include:

- (A) Program access improvements.
 - (1) Access improvement projects developed to remove access barriers.
 - (2) Projects derived from access requests and grievances received from the accessibility grievance procedure.
 - (3) Access improvements constructed as part of CAPM projects, up to 25 percent of the total annual commitment.
- (B) Costs related to managing Caltrans' ADA Infrastructure Program and implementing ADA program access improvements.
- (C) Costs associated with establishing and managing the new accessibility grievance procedure and access request process.
- (D) Completion of Caltrans' infrastructure assessment of accessibility barriers.
- (E) Retention of an access consultant.
- (F) Annual commitment adjustments for prior fiscal years.

(A) Program Access Improvements.

The main funding source for access improvement projects is the SHOPP. The SHOPP is a four year funding program, updated every two years, and is the funding process by which the majority of Caltrans' rehabilitation and operational improvements, including access improvement projects, are programmed and managed for delivery. In 2009, Caltrans established the Pedestrian Infrastructure Program as a complement to the existing Curb Ramps Program within the SHOPP to accommodate access improvement projects that focus on aspects of barrier removal other than curb ramps (sidewalks, pedestrian structures, pedestrian signals, and park and ride lots). Major projects (projects greater than \$1 million) contained in the SHOPP's two ADA programs will encompass the majority of ADA barrier removal work needed to meet the annual commitment. Minor projects (projects less than \$1 million) contained in the SHOPP Minor Program are typically scoped, designed, and put out to bid within a single fiscal year, allowing for expedited response to access barrier issues.



(1) Access Improvement Projects Developed to Remove Access Barriers.

Access improvement projects are primarily composed of curb ramp installations or upgrades, sidewalk improvements and repairs, crosswalks, obstruction removal, and relocation of accessible pedestrian signals. Incidental work such as drainage improvements, utility relocation, signal relocation, and other features may be included if minor to the scope of the project

and necessary for improved pedestrian accessibility and safety. Criteria for determining the priority of projects to be funded as program access improvements are specified in the settlement agreement. In FY2013/14, the fourth year of the settlement agreement, Caltrans completed six access improvement projects that included construction of 126 curb ramps and 972 linear feet (0.18 miles) of sidewalk. Specific project locations and costs are listed in table 1.

Table 1				
ACCESS IMPROVEMENT PROJECTS FOR FY2013/14				
Route	County	Location/Description	Project No.	Total Cost
SR 1, I-710	Los Angeles	In Long Beach, on Route 1 from Ultimo Avenue to Los Altos Avenue/8th Street and on Route 710 at Anaheim Street; and in the cities of Lynwood and Southgate on Route 710 at Imperial Highway. Remove and replace curb ramps, install detectable warning surfaces, construct new sidewalk.	07-4T490*	\$504,790
SR 1	Los Angeles	From Torrance to Malibu from Camino De Las Colinas Road to Sunset Boulevard. Construct Americans with Disabilities curb ramps.	07-27780	\$1,669,877
I-5, SR 57, SR 110, SR 405, SR 605	Los Angeles	Various locations in Los Angeles County. Construct Pedestrian Curb Ramps.	07-4T440	\$906,987
SR 12, SR 49	Calaveras, Tuolumne	In the city of Jackson, at various locations on Route 49; also in Calaveras and Tuolumne counties on Routes 12 and 49. Construct pedestrian curb ramps.	10-0T800	\$1,020,109
SR 57	Orange	In Anaheim, ADA improvements on SR-57 to meet current standards.	12-0M160	\$1,071,960
SR 57	Orange	In Anaheim, Improve facility to meet current American with Disabilities Act standards.	12-0M220	\$797,347
Total				\$5,971,070

(2) Projects Derived From Access Requests and Grievances Received From the Accessibility Grievance Procedure.

ADA program access improvement projects completing construction in FY2013/14 were derived from either noncompliant locations listed in Caltrans' ADA compliance transition plan, field review information collected as part of the project development process, or from an accessibility grievance or access request. Based on historical information from district staff, it is estimated that one* of the six ADA access improvement projects completed in FY2013/14 were initiated

to resolve an accessibility grievance or access request. However, the project was initiated prior to the development of the current Accessibility Grievance Procedure and therefore does not have a barrier removal request in the system.

(3) Access Improvement Projects Constructed as Part of CAPM Projects, up to 25 Percent of the Total Annual Commitment.

CAPM projects in FY2013/14 included construction of 22 curb ramps and 900 linear feet of sidewalk. Project locations are listed in table 2 below.

TABLE 2				
ACCESS IMPROVEMENT PROJECTS CONSTRUCTED AS PART OF CAPM PROJECTS FOR FY2013/14				
Route	County	Location/Description	Project No.	ADA Access Costs
I-40	San Bernardino	Near Newberry Springs, from west of Desert Oasis Safety Roadside Rest Area to east of Crucero Road. Rehabilitate pavement.	08-0K240	\$2,585
SR 62	San Bernardino	Near Yucca Valley and in Twentynine Palms, from Mesa Road to Bermuda Avenue. Rehabilitate pavement.	08-0Q730	\$119,639
Total				\$122,224

Expenditures Towards FY2013/14 Annual Commitment: **\$122,224**



(B) Costs Related to Managing Caltrans' ADA Infrastructure Program and Implementing ADA Program Access Improvements.

For the FY2013/14, the ADA Infrastructure Program was comprised of seven staff members, six of whom performed work directly related to program access improvements and fulfillment of the terms of the lawsuit settlement agreement. Duties include, but are not limited to, development of ADA policy, management of two ADA programs in the SHOPP, guidance to district personnel in project initiation and development, updates to the ADA transition plan database, ADA program data management and reporting, development and contract management of consultant contracts, oversight of the grievance intake and investigation process, and interaction with the access consultant.

Expenditures Towards FY2013/14 Annual Commitment:
\$902,506

(C) Costs Associated With Establishing and Managing the New Accessibility Grievance Procedure and Access Request Process.

As the owner operator of the State's transportation infrastructure, including pedestrian facilities, Caltrans has a legal obligation to respond to complaints regarding accessibility barriers brought to its attention by persons with disabilities and others. As part of the terms of the settlement agreement, Caltrans has revised its procedures for responding to accessibility grievances and access complaints to be in compliance with U.S. Department of Justice regulations.

The grievance and intake process is currently being operated, managed and improved by a consultant contract with National ADA Accrediting and Consulting (ADAAC) that runs through June 2015. The ADA intake process is accessible in several formats including an online intake form available at <http://www.dot.ca.gov> via the ADA Access Request button and at <http://www.dot.ca.gov/contactus.htm>. Contract expenditures towards the FY2013/14 annual commitment total \$750,000.

Appendix B lists the accessibility grievances and access requests received in FY2013/14 and includes the locations, action dates, and current status of resolution for each request.

A district ADA engineer has been designated in each of Caltrans' twelve districts to provide district input on ADA grievance and access request resolutions, initiate ADA improvement projects, update Caltrans' ADA transition plan database, and provide technical guidance to project development teams and others on access improvement projects. Funding for nine personnel years, (\$599,007) has been redirected from other traffic safety investigations to provide this service for the Caltrans ADA Infrastructure Program in FY2013/14.

Expenditures Towards FY2013/14 Annual Commitment:
\$1,349,007

(D) Completion of Caltrans' Infrastructure Assessment of Accessibility Barriers.

Phase I and II of the assessment of Caltrans' infrastructure have been completed and include the assessment of 4,000 miles of sidewalks and street crossings and more than 30,000 noncompliant locations for compliance with federal and State ADA standards. The results of these assessments, are included in the Caltrans access barrier database and transition plan.

Phase III of pedestrian accessibility compliance assessments was initiated in June 2013 and includes pedestrian facilities on highway on-ramps and off-ramps, park-and-ride lots, pedestrian under and overcrossings, and safety roadside rest areas. Future infrastructure assessments will include bridge structures, buildings, and other facilities. The funding related to this contract will be expended in the 2013/14 and 2014/15 fiscal years.

In June 2014, Caltrans executed Phase IV of the state-wide pedestrian system assessments to identify access barriers on state highway structures (bridges, highway overpasses and underpasses). The funding related to the Phase IV assessment contract will be expended in the 2014/15 and 2015/16 fiscal years.

Expenditures Towards FY2013/14 Annual Commitment:
\$2,250,000

(E) Retention of an Access Consultant.

In June 2013, Caltrans retained an outside accessibility consultant, Sally Swanson Architects, Inc., to review Caltrans' access improvement projects and the annual report providing an evaluation to the plaintiffs and members of the settlement class concerning Caltrans' compliance

with the settlement agreement. The evaluation report for FY2012/13 by the consultant was completed and provided to the plaintiff in March 2014.

Expenditures Towards FY2013/14 Annual Commitment:
\$126,755

(F) Annual Commitment Adjustments from Prior Fiscal Years.

The ADA Infrastructure Program compiles information immediately after the end of the fiscal year to develop program access expenditures for the fiscal year. Occasionally the totals reported in previous years must be adjusted for a number of reasons including:

- Additional expenditures on pedestrian improvement projects after contract construction acceptance of a project
- Minor projects performed in the district that were not included in previous fiscal year reports.
- Potential deductions from the previous fiscal year due to non-compliant project locations.

Project cost reported in Table 1 is the cost determined at the end of the reporting fiscal year. The project cost could change on some projects as the project payments are finalized.

Project identification and annual commitment adjustments for previous fiscal years are listed in appendix C. The total annual FY2013/14 commitment adjustment:
\$285,850



2. Allocation of Annual Commitment of Funds for Program Access Improvements

The annual commitment target and expenditures for FY2013/14 are summarized below.

Allocation of Annual Commitment of Funds

Annual Commitment FY2013/14		\$25,000,000
Carry-Over from FY2012/13		\$29,216,981
Adjusted Annual Commitment for FY2013/14		\$54,216,981
Program Access Improvements		
Access Improvement Projects		
Developed to Remove Access Barriers	\$ 5,971,070	
Costs associated with managing other ADA Related contracts (Training, Task Force, etc.)	\$ 192,724	
Access Improvements Projects Constructed as Part of CAPM Projects, up to 25 Percent of the Total Annual Commitment	\$ 122,224	
Costs Related to Managing Caltrans' ADA Infrastructure Program and Implementing ADA Program Access Improvements	\$ 902,506	
Costs Associated With Establishing and Managing the New Accessibility Grievance Procedure and Access Request Process	\$ 1,349,007	
Costs Associated With Completion of Caltrans' Infrastructure Assessment of Accessibility Barriers	\$ 2,250,000	
Retention of an Access Consultant	\$ 126,755	
Total Annual Commitment Expenditures in FY2013/14	\$ 10,914,286	
Annual Commitment Adjustments from Prior Fiscal Years		\$ 285,850
Carry-Over to FY2014/15 Annual Commitment		\$43,016,845

3. Access Improvements Relating to Pedestrian Facilities and Park and Ride Facilities Not Funded by the Annual Commitment Completed as Part of New Construction, Alteration, or CAPM Projects

In addition to constructing program access improvements, Caltrans removes accessibility barriers and creates accessible pedestrian infrastructure through highway and bridge rehabilitation, signal replacements, safety and mobility projects in compliance with federal and State ADA regulations. A list of all new

construction and alteration projects with the type and number of access improvements is included in appendix A. In FY2013/14, Caltrans constructed or upgraded 431 curb ramps, 63,064 linear feet (11.9 miles) of sidewalk, and 287 accessible pedestrian push buttons.

4. Other Pedestrian Facilities and Park and Ride Facilities Newly Constructed or Altered in FY2013/14

Caltrans constructed 8 accessible pedestrian push buttons within barrier removal projects as part of ADA access improvement projects that are not attributable to the annual commitment, in FY2013/14.

5. Training and Monitoring Efforts Undertaken in FY 2013/14 to Ensure That Temporary Routes, When Provided Through and Around Work Zones, Are Accessible to Pedestrians With Disabilities

As part of the settlement agreement, Caltrans agreed to develop guidance and training for personnel responsible for the development, approval, and implementation of accessible temporary pedestrian routes through work zones.

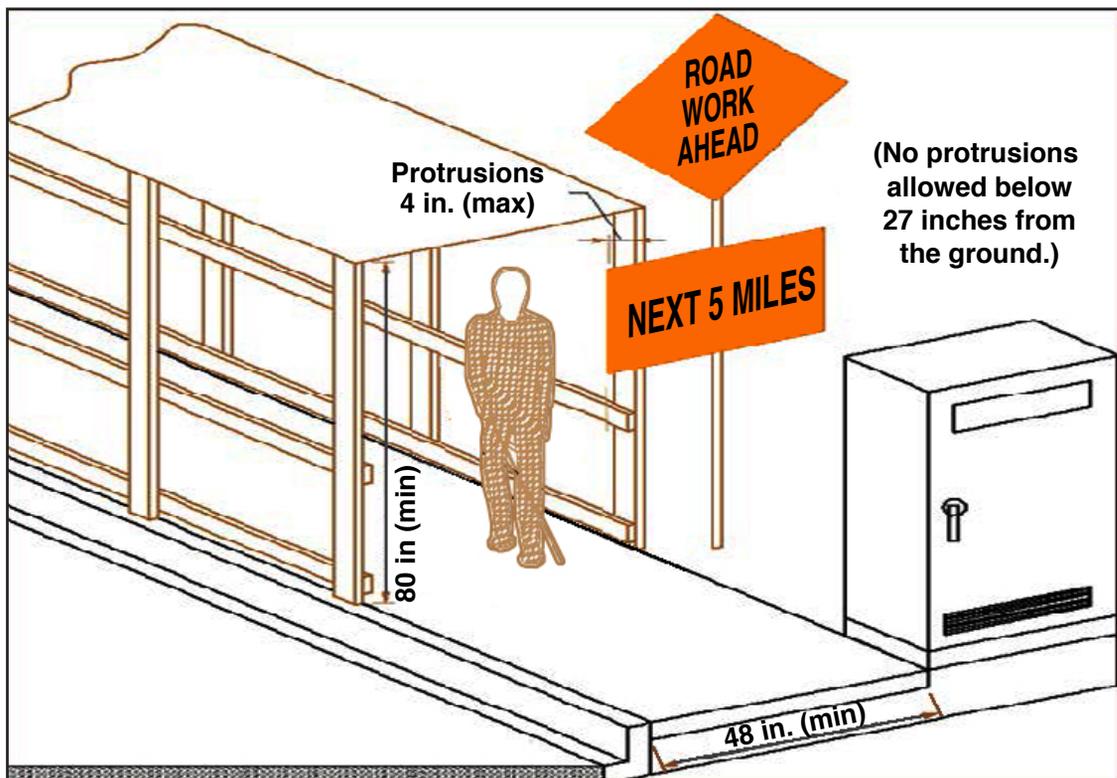
Caltrans continues to provide mandatory on-line training for construction personnel about temporary pedestrian routes through work zones. To date 1,618 Caltrans construction personnel have completed the training. Changes have also been made to strengthen contract specifications to ensure that contractors

install and maintain accessible routes when a pedestrian facility is affected as part of a construction project.

In June 2013 Caltrans executed a consultant contract with Sally Swanson Architects, Inc. to develop and provide ADA construction monitoring and inspection guidelines handbook to be used by Caltrans staff and others. The handbook shall include a separate section on the requirements of inspecting accessible temporary pedestrian accessibility routes through work zones. This section will be developed as a stand alone guidance for Caltrans and other agency personnel.

6. Revisions to “Pedestrian Accessibility Guidelines for Highway Projects” (Caltrans Design Information Bulletin 82)

DIB 82-05 received concurrence from FHWA on September 27, 2013 and released the directive to Caltrans staff on October 1, 2013. Changes were made to Design Information Bulletin (DIB) 82 in FY2013/14 to reflect new federal guidance which includes use of the draft Public Right-of-Way Accessibility Guidelines (PROWAG) as best practices. A review of DIB 82-05 by the current Access Consultant (Sally Swanson Associate Architects) has been completed.



7. ADA PROGRAM ACCESS IMPROVEMENTS FOR FY2014/15

The seven proposed program access improvement projects listed below in table 3 are expected to complete construction in FY2014/15.

Table 3				
PROPOSED ACCESS IMPROVEMENTS PROJECTS FOR FY2014/15				
Route	County	Location/Description	Project No.	Total Cost
20	Mendocino	Near Ft. Bragg, from the junction of Rt 1 & Rt 20, to 0.1 mile east of the junction of Rt. 1 & 20. Construct sidewalk. Curb-ramps and retaining wall	01-0A230	\$1,362,323
Various	San Francisco	In San Francisco & Santa Clara Counties on various routes and locations; construct ADA curb ramps and island passageways	04-4A630	\$4,847,310
Various	San Luis Obispo	In San Luis Obispo and Monterey Counties at various locations. Upgrade sidewalk to meet ADA compliance.	05-0R530	\$3,229,368
405	Los Angeles	In Long Beach & Signal Hill, from Atlantic Ave to Wardlow Rd. Install & upgrade curb ramps to ADA standards	07-1W320	\$1,150,423
Various	Riverside	In the cities of Moreno Valley, Riverside and San Bernardino at various locations. Upgrade pedestrian curb ramps and traffic signals.	08-0M310	\$1,432,218
95	San Bernardino	In Needles, from Safari Drive to State Route 40. Instal sidewalks and curb ramps.	08-0M690	\$1,331,458
39	Orange	In multiple cities, from Huntington Beach to La Habra, from Indianapolis Ave. to Imperial Hwy (Rte 90). Install ADA curb ramps	12-0L380	\$5,046,414
Total				\$18,399,514

8. Estimated Dollar Amount of Funding to Be Allocated to Program Access Improvements Planned for Future Fiscal Years

Program access improvements expected to complete construction in future fiscal years consist of eleven projects in FY2015/16 with an estimated cost of \$46.3 million, twelve projects for FY2016/17 with an estimated cost of \$46.5 million, eight projects for FY2017/18 with an estimated cost of \$28.6 million and seventeen projects for FY2018/19 with an estimated

cost of \$77.1 million. FY2019/20 and FY2020/21 are currently in the planning stage and will be approved for funding in July 2016. Table 4 illustrates the number of program access improvement projects anticipated in the next few fiscal years and an estimated total cost for those projects.

	FY2015/16	FY2016/17	FY2017/18	FY2018/19
Number of ADA-Specific Projects	11	12	8	17
Estimated Total Project Costs ^{§,¶}	\$46,328,000	\$46,498,000	\$28,645,000	\$77,114,000
<p>[§]Includes capital construction and right-of-way estimates, and estimated utility relocation, environmental mitigation, and capital support costs including environmental review, preliminary engineering, surveys, right-of-way acquisition, project design, and construction inspection.</p> <p>[¶]Access improvement project costs only; does not include other annual commitment-eligible expenditures.</p>				

9. Grievances Received From the Accessibility Grievance Procedure

A centralized grievance and access request intake process was initiated in 2011 through a consultant contract. The process and the tools developed for its implementation have been improved and streamlined over the last two years to ensure barrier location accuracy and improved communication with requesters. The grievance and intake process is currently being managed by a consultant contract with ADAAC that

runs through FY2014/15. Caltrans received 168 access requests and grievances in FY2013/14 through the grievance and access request process.

The ADA intake process is accessible in several formats, including an online form available at <http://www.dot.ca.gov> via the ADA Access Request button and at <http://www.dot.ca.gov/contactus.htm>.

10. Status of the Resolution of Accessibility Grievances

Caltrans is required to ensure all grievances and access requests concerning State and local systems are resolved in accordance with federal guidelines and to the satisfaction of the grievant or requester as a part of Caltrans' stewardship responsibilities regulated by the Federal Highway Administration (FHWA).

All grievances and access requests received in FY2013/14 have been documented and are in various stages of resolution. In some cases, a person may submit several locations within one request. If appropriate, these locations were grouped such as curb ramps at multiple intersections, long sections of pedestrian pathway, or multiple signalized intersection for accessible pedestrian signals and separate case numbers assigned. As Caltrans can resolve requests through multiple mechanisms, this provides Caltrans a method to clear one of a person's requests while the other requests may require additional time to resolve. In addition, staff investigated ADA-related maintenance service requests, pedestrian safety issues, and internal accessibility inquiries. The status of the 168 accessibility grievances and access requests

received in FY2013/14, including those reaching resolution during this fiscal year, are provided in appendix B. Twelve (12) requests were received that were determined not to be barrier removal requests. These include items such as questions regarding use of HOV lanes, noise from Caltrans construction projects and use of electric vehicles on city streets.

47 requests were determined to be the responsibility of a local agency (city or county). These have either been forwarded onto the local agency or are in the process of being forwarded. The requestor is provided with the information regarding the local agency responsible and their contact information.

109 requests were received and determined to be the responsibility of Caltrans for resolution. Ten (10) have been resolved/closed, 23 are awaiting implementation of resolution, and 76 are under investigation to determine the exact nature of the barrier and provide data for the determination of the appropriate resolution process.



OTHER ADA RELATED ACTIVITIES

In addition to the required annual reporting elements, other accomplishments and activities initiated or completed in FY2013/14 are listed below.

ADA Infrastructure Database.

The Caltrans ADA infrastructure barrier database continues to be updated on a regular basis as additional pedestrian infrastructure information is obtained. All historical information and data gathered through assessment contracts will be transferred to an updated onto an internal Caltrans virtual server, beginning January 2015.

Caltrans anticipates launching a new, updated and more user friendly version of the on-line transition plan and grievance tracking system by July 2015. The web-based transition plan, and grievance tracking system, will pro-

vide users with a searchable database using a Google Earth interface with access barrier information and photos identifying noncompliant infrastructure locations.

ADAAC will continue to run the official transition plan database through their system as part of the new two year Phase IV infrastructure assessment and grievance intake and investigation contracts. The current Caltrans transition plan can be accessed at <<http://64.55.112.217/secured/asset/caltrans/TransitionReport.aspx?mode=public>>.

Type	Location	Severity	Priority	Date Completed	Completed By
Individual Signals	Sw Corner	Medium		2014/07/23	Inspection Team 2
Curb Ramp	Sw Corner	Medium High		2014/07/23	Inspection Team 2
Curb Ramp	Sw Corner	Medium High		2014/07/23	Inspection Team 2
Individual Signals	Sw Corner, Signal Does Not Function, Overhead Will No Change To Walk Signal.	Medium		2014/07/23	Inspection Team 2
Individual Signals	Se Corner	Medium		2014/07/23	Inspection Team 2
Curb Ramp	Se Corner	Medium High		2014/07/23	Inspection Team 2
Curb Ramp	Nw Corner	Medium High		2014/07/23	Inspection Team 2
Individual Signals	Nw Corner, Inoperable Buson, Will	Medium		2014/07/23	Inspection Team 2

Caltrans External Access Advisory Committee.

Caltrans External Access Advisory Committee. In September 2013, the Caltrans Access Advisory Committee (CtAAC) was implemented with the approval of all committee members. The CtAAC consists of thirteen members, including the Caltrans Statewide ADA Coordinator. During FY2013/14 the CtAAC conducted two meetings and is expected to meet at least twice every fiscal year.

The Committee's duties include providing input to the ADA Infrastructure Program on Caltrans' ADA policies, practices, procedures, project priorities, and methods of communicating with community advocates.

Caltrans Access Advisory Committee (CtAAC) FY2013/14		
Bob Planthold	Chair	California Pedestrian Advisory Committee
Eugene Lozano	Vice-Chair	California Council of the Blind (CCB)
Richard Skaff	Member	Designing Accessible Communities
Ben Rockwell	Member	Plaintiff
Linda Hinchey	Member	California's for Disability Rights, Inc. (CDR)
Dan Smith	Member	Council of Citizens with Low Vision
Kevin Jensen	Member	Local Agency Public Works Representative
George B. Sharp	Member	Disability Resources Agency/Independent Living (DRAIL)
Veronica Elsea	Member	Santa Cruz Regional Transportation District
Patrick Tobin	Member	Retired San Francisco Police Officer
Alfonso Nguyen	Member	California Department of Rehabilitation

Local Agency and Annual Federal Highway Administration (FHWA) ADA Compliance Reviews.

The ADA sets forth specific administrative requirements and access guidelines for state and local governments to ensure equal access by persons with disabilities to all government services, programs, activities, and events.

Caltrans is mandated to conduct compliance reviews of local government entities' who are subrecipients of funds from the FHWA to ensure the delivery of government services, programs and activities to the public in a nondiscriminatory manner. The mandate for these reviews are in accordance with Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794), title 23 Code of Federal Regulations part 200, title 28, part 35, and Title II of the ADA (42 U.S.C. § 12131–12164).

The ADAIP reviewed and restructured the FHWA mandated compliance review process to include a comprehensive on-site assessment process of local agencies public rights-of-way infrastructure features and facilities. The ADAIP conducted three comprehensive ADA compliance reviews of local agencies during the FY2013/14. The reviews included an analysis of the local entities compliance with the administrative requirements of Title II of the ADA. The ADA Infrastructure Program's compliance review team conducts on-site assessments, including staff interviews, and generates a report to both the local entity and the FHWA summarizing findings on ADA compliance. If necessary, technical assistance and resources are provided by the ADA Infrastructure Program to assist the local entity in achieving ADA compliance. There are currently six compliance reviews schedule for the FY2014/15.



LOOKING AHEAD

In FY 2013/14 Caltrans continued to make strides to be compliant with federal and State accessibility requirements and move forward with implementation of the 2010 ADA lawsuit settlement. Stronger partnerships with federal agencies, greater interaction with local agencies on ADA requirements and improved guidance, internally and externally, on the design and construction of access improvements were the major points of emphasis for the ADA Infrastructure Program throughout 2013/14 and will carry into the 2014/15 fiscal year.

The ADA Infrastructure Program, along with the Divisions of Maintenance and Design, worked closely with the Federal Highway Administration to implement the “Joint Technical Assistance on the ADA Title II Requirements to Provide Curb Ramps When Streets, Roads or Highways are Altered Through Resurfacing”, issued June 28, 2013, at the State and local levels. Although technically a “clarification” this was a significant change to the way both the Department and the local agencies conduct their resurfacing program. Per the agreement between FHWA and Caltrans, all State resurfacing projects that fall under the new alteration definition that go out to bid after July 1, 2014 will include accessible curb ramp work as necessary for compliance.

Over the last few years it has become evident that in order for the pedestrian infrastructure, throughout California, to become more accessible Caltrans will need to take a leading role in working with local public agencies to improve access beyond the State right-of-way. Additional acces-

sibility standards training, expanded local agency compliance reviews and additional partnering on the funding of access projects is currently underway and will be a focus for the ADA Infrastructure Program over the next couple of years.

Significant improvements were initiated in ADA design guidance, contract language and construction inspection processes that will lead to better compliance for our access improvements. Construction training for 300+ construction inspectors is scheduled for the FY2014/15 with an equal number of designers receiving ADA design training during 2015. Additional changes to the existing guidance are expected following the adoption of the Public Right of Way Accessibility Guidelines (PROWAG) in 2015.

Caltrans continues to make progress in removing access barriers within its pedestrian infrastructure. Upcoming projects focus on removing barriers brought to Caltrans attention through access requests and grievances as well as non-compliant locations identified in the ADA transition plan database. The additional projects to be developed and constructed over the next few years will bring Caltrans into compliance with the annual funding commitments identified in the 2010 ADA lawsuit settlement agreement. Compliance with the settlement agreement continues to be a major objective within the ultimate goal of exceeding federal and State accessibility requirements and operating and maintaining a barrier free pedestrian system throughout the state of California.



APPENDIX A

Access Improvements Relating To Pedestrian Facilities And Park And Ride Facilities Not Funded By The Annual Commitment Completed As Part Of New Construction, Alterations, or (CAPM) Projects In FY2013/14

District	EA/Tracking No.	County	Route	Number of Curb Ramps	Linear Feet of Sidewalk	Accessible Pedestrian Signals
01	0B860	HUM	211		1,966	
01	0B250	DN	101	11	503	
01	0112-N-CS-0334	MEN	1	8		
02	2C222	SHA	299		98	
02	34760	SHA	5	11	1,417	
02	0213-6CS-0269	SHA	273		23	
02	0213-6CS-0448	SIS	3		250	
03	3338U	PLA	065	17	1,850	62
03	0E930	BUT	070			8
03	2A690	NEV	049	4	132	6
03	0304-6CS0719	NEV	20	1	580	
03	0312-6CS0061	PLA	28	2	6	
03	0307-6CS0966	SUT	99		12	
03	0306-6RC0461	PLA	28	2	190	
03	0311-6CD0772	BUT	32		360	
03	0310-6CD0966	GLE	32		371	
03	0310-NCD0764	GLE	32	1	306	
03	0312-6CD0960	PLA	28	4	38	
03	0312-6CD0965	SUT	99		250	
03	0307-6SN0948	GLE	162	32	2,850	12
03	0308-6SN0546	NEV	20	3	80	10
04	16542	ALA	880	6	1,528	10
04	24657	CC	004	7	3,240	9
04	26404	SON	101	4	233	4
04	04-13-6-RC-1441	ALA	123	2	36	
04	04-14-6-RC-0532	ALA	185		40	
04	04-14-6-CS-0576	ALA	880	1	464	
04	04-13-N-MC-1772	MRN	101	5	80	5
04	04-14-6MC-0801	NAP	121	1	80	
04	04-14-N-MC-0120	SCL	9	4	3,200	
04	04-13-6-CU-2281	SCL	82	4	400	3
04	04-13-6-MC-1540	SCL	82	4		
04	04-13-6-RC-2134	SCL	82	1	100	
04	04-14-6-CS-0577	SCL	82	1	30	
04	04-14-6-CS-0673	SCL	82		36	
04	04-14-6-MC-1115	SCL	101	1	90	
04	04-14-6-MC-0467	SCL	280		6	
04	04-14-6-CS-1153	SF	1		36	
04	04-13-N-CS-2312	SF	280		450	
04	04-14-N-MC-1089	SF	280	2		

**Access Improvements Relating To Pedestrian Facilities And Park And Ride
Facilities Not Funded By The Annual Commitment Completed As Part Of New
Construction, Alterations, or (CAPM) Projects In FY2013/14**

(continued)

District	EA/Tracking No.	County	Route	Number of Curb Ramps	Linear Feet of Sidewalk	Accessible Pedestrian Signals
04	04-13-6-CS-2197	SM	82		10	
04	04-13-6-MC-1590	SM	82	2	210	
04	04-13-N-MC-2123	SM	101		250	
04	04-14-N-MC-0951	SOL	12	2		2
04	04-13-6-MC-1272	SOL	29	1	624	
04	04-14-6-MC-0845	SON	12	2	20	
04	0G130	SCL	82	4	28	
04	1123U	SM	1	9	260	4
04	2285C	CC	4	12	2,700	14
04	2640L	MRN	101		164	
04	3A230	SON	101	5	2,637	
04	4A923	SM	82			32
05	46380	SB	101	3	1,132	
05	0G030	SLO	101	11	371	
06	0P660	KER	223	8		
06	39520	KER	178	10	1,050	4
06	0K540	TUL	63	4		4
06	41600	KIN	41	6		10
06	0613-NCS-0632	*		1	257	
06	0613-6RC-0838	*			115	
06	0613-NMC-0350	*		1		
06	0613-NMC-0491	*		3		
06	0614-NMC-0025	*		4		
06	0613-NCS-0409	*		4		
06	0612-6CD-0914	*			290	
06	0613-6CD-0434	*			190	
07	4S300	LA	101	2		2
07	4T580	LA	210	4		2
07	28500	LA	5			2
08	0E450	RIV	15	6	360	6
08	45580	RIV	10	8	1,660	9
08	0E520	RIV	215	11	4,100	4
08	46810	SBD	10	9	4,203	12
08	0A791	SBD	18	2	114	
08	0M210	RIV	74	3		6
08	0J140	RIV	74	3	209	5
08	0G980	RIV	251	4	360	4
08	1A790	SBD	62	21	4,450	
08	130479	*		1	297	
08	130573	*			8	
08	130044	*		1	548	8
08	130342	*			54	

Access Improvements Relating To Pedestrian Facilities And Park And Ride Facilities Not Funded By The Annual Commitment Completed As Part Of New Construction, Alterations, or (CAPM) Projects In FY2013/14

(continued)

District	EA/Tracking No.	County	Route	Number of Curb Ramps	Linear Feet of Sidewalk	Accessible Pedestrian Signals
10	0T140	AMA	49			10
10	0A671	STA	99	7		10
11	2976U	SD	5	6	270	9
11	27520	IMP	98	6	230	
11	26140	SD	5	6	7,135	
11	28880	SD	905	16	2,604	19
11	11-12-6SN-0099	*		3	100	2
11	11-13-6MC-0426	*		2	20	
11	11-12-6MC-0648	*		3	175	1
11	11-12-NSN-0212	*		4		5
11	11-08-NMC-0086	*		12		
11	11-12-NSN-0255	*		9		3
11	11-12-NMC-0147	*		2		2
11	11-12-NMC-0130	*		1		1
11	11-12-NMC-0128	*		2		2
11	11-09-NRW-0261	*		3	100	
11	11-10-N-MC-0388	*		3	300	1
11	08010	SD	76	25	2,259	34
11	25980	SD	78	10	1,800	9
12	0E570	ORA	5		2,170	
12	0G330	ORA	91	5	213	
				Total number of curb ramps	Total linear feet of sidewalk	Total number of curb accessible pedestrian signals
				435	65,406	367
*	Indicates work done under encroachment permit and detailed location information was not available.					

APPENDIX B

Status of Access Requests Under Jurisdiction of Caltrans

Case #	District	County	Route	City	Complaint Type	Initial Contact Date	Current Status	Comments
0218-001	7	LOS ANGELES	19	Bellflower	Pedestrian Path	7/17/13	Case Closed	Work completed by others
0220-002	5	MONTEREY	1	Carmel	Curb Ramp	7/18/13	Implement Resolution	
0220-003	5	MONTEREY	1	Carmel	Curb Ramp	7/18/13	Implement Resolution	
0220-004	5	MONTEREY	1	Carmel	Crosswalk	7/18/13	Case Closed	Work completed by Caltrans Maintenance Staff
1218-001	7	LOS ANGELES	1	Redondo Beach	Curb Ramp	7/22/13	Implement Resolution	
1218-002	7	LOS ANGELES	1	Redondo Beach	Curb Ramp	7/22/13	Implement Resolution	
1221-001	7	LOS ANGELES	10	Los Angeles	Curb Ramp	7/25/13	Implement Resolution	
1221-002	7	LOS ANGELES	10	Los Angeles	Curb Ramp	7/25/13	Implement Resolution	
1221-003	7	LOS ANGELES	10	Los Angeles	Curb Ramp	7/25/13	Implement Resolution	
1221-004	7	LOS ANGELES	10	Los Angeles	Curb Ramp	7/25/13	Implement Resolution	
1222-001	4	MARIN	131	Belvedere Tiburon	Curb Ramp	7/26/13	Investigation	
1222-002	4	MARIN	131	Belvedere Tiburon	APS	7/26/13	Investigation	
1222-003	4	MARIN	131	Belvedere Tiburon	Crosswalk	7/26/13	Investigation	
1224-001	4	ALAMEDA	112	San Leandro	APS	8/5/13	Implement Resolution	
1224-002	4	ALAMEDA	112	San Leandro	APS	8/5/13	Implement Resolution	
1224-003	4	ALAMEDA	112	San Leandro	APS	8/5/13	Implement Resolution	
1224-004	4	ALAMEDA	112	San Leandro	APS	8/5/13	Implement Resolution	
1224-005	4	ALAMEDA	112	San Leandro	APS	8/5/13	Implement Resolution	
1224-006	4	ALAMEDA	112	San Leandro	APS	8/5/13	Implement Resolution	
1226-001	5	MONTEREY	68	Pacific Grove	Pedestrian Path	8/9/13	Implement Resolution	
1227-001	7	VENTURA	33	Ojai	Pedestrian Path	8/19/13	Implement Resolution	
0065-001	4	SONOMA	116	Guerneville	Pedestrian Path	8/30/13	Investigation	
1239-001	8	RIVERSIDE	79	Hemet	Pedestrian Path	9/10/13	Investigation	
2232-001	3	YUBA	65	Wheatland	APS	9/20/13	Case Closed	Work completed by Caltrans Maintenance Staff

Status of Access Requests Under Jurisdiction of Caltrans
(continued)

Case #	District	County	Route	City	Complaint Type	Initial Contact Date	Current Status	Comments
2232-002	3	YUBA	65	Wheatland	APS	9/20/13	Case Closed	Work completed by Caltrans Maintenance Staff
2231-001	4	SAN MATEO	82	Redwood City	APS	9/20/13	Implement Resolution	
2231-002	4	SAN MATEO	82	Redwood City	APS	9/20/13	Implement Resolution	
2231-003	4	SAN MATEO	82	Redwood City	APS	9/20/13	Implement Resolution	
2231-004	4	SAN MATEO	82	Redwood City	APS	9/20/13	Implement Resolution	
2233-002	12	ORANGE	5	Anaheim	Pedestrian Path	9/23/13	Investigation	
2233-001	12	ORANGE	5	Anaheim	APS	9/23/13	Investigation	
2234-001	4	SANTA CLARA	82	Palo Alto	APS	9/25/13	Implement Resolution	
2234-002	4	SANTA CLARA	82	Palo Alto	APS	9/25/13	Implement Resolution	
2232-003	3	YUBA	65	Wheatland	APS	10/3/13	Case Closed	Work completed by Caltrans Maintenance Staff
2232-004	3	YUBA	65	Wheatland	APS	10/3/13	Case Closed	Work completed by Caltrans Maintenance Staff
2232-005	3	YUBA	65	Wheatland	APS	10/3/13	Case Closed	Work completed by Caltrans Maintenance Staff
2232-006	3	YUBA	65	Wheatland	APS	10/3/13	Case Closed	Work completed by Caltrans Maintenance Staff
0103-027	4	ALAMEDA	185	San Leandro	Curb Ramp	10/3/13	Investigation	
0103-028	4	ALAMEDA	185	San Leandro	APS	10/3/13	Investigation	
2239-001	6	KERN	202	Tehachapi	APS	10/8/13	Investigation	
0204-018	1	DEL NORTE	101	Crescent City	APS	10/10/13	Investigation	
0204-019	1	DEL NORTE	101	Crescent City	APS	10/10/13	Investigation	
1222-008	4	MARIN	131	Belvedere Tiburon	APS	10/11/13	Implement Resolution	
3237-001	5	MONTEREY	68	Salinas	APS	10/23/13	Investigation	
3237-009	5	MONTEREY	68	Salinas	APS	10/30/13	Case Closed	Work completed by Caltrans Maintenance Staff
3237-010	5	MONTEREY	68	Salinas	APS	10/30/13	Case Closed	Work completed by Caltrans Maintenance Staff

Status of Access Requests Under Jurisdiction of Caltrans
(continued)

Case #	District	County	Route	City	Complaint Type	Initial Contact Date	Current Status	Comments
1239-002	8	RIVERSIDE	79	Hemet	Pedestrian Path	11/1/13	Investigation	
3239-001	4	SONOMA	128	Geyserville	Pedestrian Path	11/5/13	Investigation	
0065-066	4	SONOMA	116	Guerneville	Curb Ramp	11/14/13	Investigation	
0065-067	4	SONOMA	116	Guerneville	APS	11/15/13	Investigation	
3246-001	3	BUTTE	32	Chico	Pedestrian Path	11/19/13	Investigation	
3248-002	8	RIVERSIDE	74	Hemet	Curb Ramp	11/25/13	Investigation	
0065-214	4	MARIN	1	Mill Valley	Crosswalk	12/5/13	Investigation	
3248-001	8	RIVERSIDE	74	Hemet	APS	12/10/13	Investigation	
0135-005	10	SAN JOAQUIN	12	Lodi	Pedestrian Path	12/20/13	Investigation	
3251-001	5	SANTA CRUZ	17	Scotts Valley	APS	1/23/14	Investigation	
3250-001	11	SAN DIEGO	125	San Diego	APS	1/23/14	Investigation	
3251-002	5	SANTA CRUZ	17	Scotts Valley	APS	1/24/14	Investigation	
3252-001	6	KERN	223	Arvin	APS	1/28/14	Investigation	
3253-001	3	SACRAMENTO	80	Sacramento	Curb Ramp	2/6/14	Investigation	
3253-002	3	SACRAMENTO	80	Sacramento	Pedestrian Path	2/6/14	Investigation	
3256-001	4	SOLANO	113	Dixon	Pedestrian Path	2/19/14	Investigation	
3256-002	4	SOLANO	113	Dixon	Pedestrian Path	2/25/14	Investigation	
3258-001	5	SANTA CRUZ	9	Felton	Pedestrian Path	2/25/14	Investigation	
0114-002	4	SAN MATEO	82	Burlingame	Pedestrian Path	2/26/14	Investigation	
3256-005	4	SOLANO	113	Dixon	Curb Ramp	2/26/14	Investigation	
3256-007	4	SOLANO	113	Dixon	Pedestrian Path	3/4/14	Investigation	
3259-001	12	ORANGE	39	Huntington Beach	Pedestrian Path	3/4/14	Investigation	
3256-009	4	SOLANO	113	Dixon	Curb Ramp	3/5/14	Investigation	
3261-001	7	LOS ANGELES	1	Lomita	Pedestrian Path	3/6/14	Investigation	
3261-002	7	LOS ANGELES	1	Lomita	Curb Ramp	3/6/14	Investigation	

Status of Access Requests Under Jurisdiction of Caltrans
(continued)

Case #	District	County	Route	City	Complaint Type	Initial Contact Date	Current Status	Comments
3261-003	7	LOS ANGELES	1	Lomita	Curb Ramp	3/6/14	Investigation	
3261-004	7	LOS ANGELES	1	Lomita	Crosswalk	3/6/14	Investigation	
3261-005	7	LOS ANGELES	1	Lomita	Curb Ramp	3/6/14	Investigation	
3261-006	7	LOS ANGELES	1	Lomita	Driveway	3/6/14	Investigation	
3261-007	7	LOS ANGELES	1	Lomita	Crosswalk	3/6/14	Investigation	
3261-008	7	LOS ANGELES	1	Lomita	Curb Ramp	3/6/14	Investigation	
3261-009	7	LOS ANGELES	1	Lomita	Curb Ramp	3/6/14	Investigation	
3261-010	7	LOS ANGELES	1	Lomita	Pedestrian Path	3/6/14	Investigation	
3261-011	7	LOS ANGELES	1	Lomita	Pedestrian Path	3/6/14	Investigation	
3261-012	7	LOS ANGELES	1	Lomita	Pedestrian Path	3/6/14	Investigation	
3261-013	7	LOS ANGELES	1	Lomita	Pedestrian Path	3/6/14	Investigation	
3261-014	7	LOS ANGELES	1	Lomita	Curb Ramp	3/6/14	Investigation	
3261-015	7	LOS ANGELES	1	Lomita	Crosswalk	3/6/14	Investigation	
3261-016	7	LOS ANGELES	1	Lomita	Pedestrian Path	3/6/14	Investigation	
3261-017	7	LOS ANGELES	1	Lomita	Curb Ramp	3/6/14	Investigation	
3261-018	7	LOS ANGELES	1	Lomita	Pedestrian Path	3/6/14	Investigation	
3261-019	7	LOS ANGELES	1	Lomita	Curb Ramp	3/6/14	Investigation	
3261-020	7	LOS ANGELES	1	Lomita	Curb Ramp	3/6/14	Investigation	
3261-021	7	LOS ANGELES	1	Lomita	Pedestrian Path	3/6/14	Investigation	
3262-001	4	ALAMEDA	185	San Leandro	APS	3/11/14	Investigation	
3262-002	4	ALAMEDA	185	San Leandro	APS	3/11/14	Investigation	
3263-001	7	LOS ANGELES	2	Beverly Hills	Curb Ramp	3/13/14	Investigation	
3264-002	12	ORANGE	1	Laguna Beach	Curb Ramp	3/17/14	Investigation	
3267-001	5	MONTEREY	218	Seaside	Pedestrian Path	3/24/14	Investigation	
3270-001	1	HUMBOLDT	101	Eureka	Curb Ramp	4/8/14	Investigation	

Status of Access Requests Under Jurisdiction of Caltrans
(continued)

Case #	District	County	Route	City	Complaint Type	Initial Contact Date	Current Status	Comments
3270-002	1	HUMBOLDT	101	Eureka	Curb Ramp	4/8/14	Investigation	
3270-003	1	HUMBOLDT	101	Eureka	Curb Ramp	4/8/14	Investigation	
3270-004	1	HUMBOLDT	101	Eureka	Curb Ramp	4/16/14	Investigation	
3270-005	1	HUMBOLDT	101	Eureka	Bus Boarding and Alighting Areas	4/16/14	Investigation	
3270-006	1	HUMBOLDT	101	Eureka	Bus Boarding and Alighting Areas	4/16/14	Investigation	
0051-003	4	SAN MATEO	82	Millbrae	Curb Ramp	5/2/14	Investigation	
3285-001	6	MADERA	49	Oakhurst	Curb Ramp	5/28/14	Investigation	
3287-001	5	MONTEREY	68	Pacific Grove	APS	6/5/14	Investigation	
3288-001	7	LOS ANGELES	605	Long Beach	Pedestrian Path	6/6/14	Investigation	
3289-001	5	SANTA CRUZ	152	Watsonville	APS	6/9/14	Investigation	
3291-001	12	ORANGE	1	Laguna Beach	Curb Ramp	6/25/14	Investigation	
3292-001	4	SONOMA	101	Rohnert Park	APS	6/25/14	Jurisdiction Verification	
7557	7	LOS ANGELES	405	Culver City	Pedestrian Path	6/30/14	Jurisdiction Verification	

Status of Access Requests Under Local Jurisdiction

FY 2013/14	District	County	City	Complaint Type	Initial Contact Date	Current Status
0220-001	5	MONTEREY	Carmel	Curb Ramp	7/18/13	Forward Request to Entity
1223-001	7	LOS ANGELES	Reseda	Accessible Parking Space	7/29/13	Successfully Forwarded
1225-001	10	CALAVERAS	Copperopolis	Pedestrian Path	8/6/13	Successfully Forwarded
1233-001	6	KERN	California City	Building Access	8/26/13	Successfully Forwarded
1236-001	4	ALAMEDA	Oakland	Pedestrian Path	8/27/13	Successfully Forwarded
0012-005	10	SAN JOAQUIN	Manteca	Pedestrian Path	10/3/13	Successfully Forwarded
0204-034	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-035	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-036	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-037	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-038	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-039	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-040	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-041	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-042	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-043	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-044	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-045	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-046	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-047	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-048	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
0204-049	1	DEL NORTE	Crescent City	APS	10/14/13	Successfully Forwarded
3236-001	3	SACRAMENTO	Antelope	Curb Ramp	10/17/13	Successfully Forwarded
3236-002	3	SACRAMENTO	Antelope	Curb Ramp	10/18/13	Successfully Forwarded
3236-003	3	SACRAMENTO	Antelope	Curb Ramp	10/18/13	Successfully Forwarded
3236-004	3	SACRAMENTO	Antelope	Curb Ramp	10/18/13	Successfully Forwarded

Status of Access Requests Under Local Jurisdiction
(continued)

FY 2013/14	District	County	City	Complaint Type	Initial Contact Date	Current Status
3240-001	7	LOS ANGELES	Gardena	Pedestrian Path	11/6/13	Forward Request to Entity
0009-104	3	BUTTE	Chico	Bus Boarding and Alighting	11/8/13	Successfully Forwarded
0009-105	3	BUTTE	Chico	Bus Shelters	11/8/13	Successfully Forwarded
0009-107	3	BUTTE	Chico	Bus Shelters	11/8/13	Successfully Forwarded
3247-001	7	LOS ANGELES	Los Angeles	Curb Ramp	12/4/13	Successfully Forwarded
0065-213	4	MARIN	Mill Valley	Bus Boarding and Alighting	12/16/13	Successfully Forwarded
3249-001	8	SAN BERNARDINO	Ontario	Pedestrian Path	1/9/14	Successfully Forwarded
3256-003	4	SOLANO	Dixon	Pedestrian Path	2/26/14	Forward Request to Entity
3256-004	4	SOLANO	Dixon	Pedestrian Path	2/26/14	Forward Request to Entity
3256-006	4	SOLANO	Dixon	Curb Ramp	2/26/14	Forward Request to Entity
3256-008	4	SOLANO	Dixon	Pedestrian Path	3/5/14	Forward Request to Entity
3260-002	12	ORANGE	Huntington Beach	Pedestrian Path	3/6/14	Forward Request to Entity
3260-001	12	ORANGE	Huntington Beach	Pedestrian Path	3/6/14	Forward Request to Entity
3264-001	12	ORANGE	Laguna Beach	Bus Shelters	3/15/14	Forward Request to Entity
3265-001	12	ORANGE	Westminster	Crosswalk	3/19/14	Forward Request to Entity
3266-001	7	LOS ANGELES	Northridge	Pedestrian Path	3/19/14	Forward Request to Entity
3269-001	4	SAN MATEO	San Bruno	Pedestrian Path	4/1/14	Forward Request to Entity
3274-001	7	LOS ANGELES	Los Angeles	Pedestrian Path	5/5/14	Forward Request to Entity
3284-001	11	SAN DIEGO	San Diego	Bus Boarding and Alighting	5/19/14	Forward Request to Entity
3289-002	5	SANTA CRUZ	Watsonville	APS	6/10/14	Forward Request to Entity
3289-003	5	SANTA CRUZ	Watsonville	Pedestrian Path	6/10/14	Forward Request to Entity

Requests Received Determined Not To Be Access Barriers

FY 2013/14	District	County	City	Complaint Type	Initial Contact Date	Current Status
0217-001	7	LOS ANGELES	Van Nuys	Policy, Procedures and Practices	7/1/13	Case Closed
0219-001	8	SAN BERNARDINO	San Bernardino	Marked Crosswalk	7/18/13	Case Closed
0221-001	3	PLACER	Rocklin	Policy, Procedures and Practices	7/21/13	Case Closed
1219-001	1	HUMBOLDT	Willow Creek	Accessible Parking Space	7/23/13	Case Closed
1229-001	5	SAN LUIS OBISPO	Pismo Beach	Policy, Procedures and Practices	8/22/13	Case Closed
1230-001	7	LOS ANGELES	Norwalk	Policy, Procedures and Practices	8/24/13	Case Closed
1231-001	11	SAN DIEGO	San Diego	Policy, Procedures and Practices	8/25/13	Case Closed
2240-001	7	LOS ANGELES	Whittier	Policy, Procedures and Practices	10/8/13	Case Closed
3254-001	11	SAN DIEGO	La Mesa	Policy, Procedures and Practices	2/11/14	Case Closed
3255-001	5	SANTA BARBARA	Buellton	Pedestrian Path	2/12/14	Case Closed
3268-001	7	LOS ANGELES	Los Angeles	Policy, Procedures and Practices	3/26/14	Case Closed
3290-001	11	IMPERIAL	Calexico	Policy, Procedures and Practices	6/12/14	Case Closed

APPENDIX C

Annual Commitment Adjustments For Prior Fiscal Years

District	Project ID	FY Report	Previously Reported Expenditures	Current Expenditure	Adjustment
03	2F670	2012/13	\$857,351	\$862,927	\$5,576
05	0R840	2012/13	\$2,391,691	\$2,481,350	\$89,659
05	0T530	2012/13	\$143,760	\$41,826	(\$101,935)
07	27670	2012/13	\$817,386	\$856,371	\$38,984
08	0M830	2012/13	\$697,965	\$698,310	\$346
08	0P670	2012/13	\$776,452	\$775,327	(\$1,125)
08	0Q800	2012/13	\$151,233	\$5,827	(\$145,406)
09	35010	2012/13	\$1,025,484	\$1,083,746	\$58,262
10	0U480	2012/13	\$548,408	\$553,272	\$4,864
11	29671	2012/13	\$1,511,857	\$1,512,016	\$159
11	40600	2012/13	\$1,900,909	\$1,954,415	\$53,507
12	0M140	2012/13	\$842,838	\$1,070,609	\$227,772
03	4E650	2011/12	\$549,537	\$550,280	\$743
04	3A990	2011/12	\$1,686,448	\$1,698,748	\$12,301
05	0Q560	2011/12	\$1,002,222	\$1,022,230	\$20,007
06	0G960	2011/12	\$2,167,133	\$2,189,268	\$22,135
ANNUAL COMMITMENT ADJUSTMENT TOTAL FOR PRIOR FISCAL YEARS					\$285,850

